



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLN. OF: SAKAMOTO et al.
SERIAL NO.: 09/739,478
FILED: December 18, 2000
FOR: ACTIVE MATRIX LIQUID CRYSTAL DISPLAY
GROUP: 2871
EXAMINER: RUDE, Timothy L. DOCKET: NEC 00P310

The Commissioner of Patents & Trademarks
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicants wish to bring to the attention of the Patent Office additional prior art received in connection with a Novelty Search of Applicant's corresponding Japanese application. Attached is U.S. Patent Office Form PTO-1449, including copies of the prior art references not already of record in this matter. Where available, English language counterparts and/or abstracts have been provided for the Examiner's convenience. Attached also is a copy of the Japanese Search Report, together with an English translation of relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

This information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitute prior

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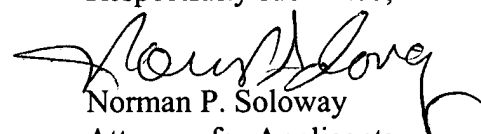
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art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 CFR § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designed in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a copy of the Japanese Search Report by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Information Disclosure Statement is being submitted within three months of receipt of the Japanese Search Report, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this prior art disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



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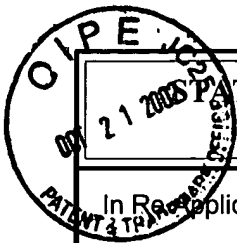
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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
NEC 00P310

In Re: Application Of: SAKAMOTO et al.

Serial No.
09/739,478

Filing Date
December 18, 2000

Examiner
RUDE, Timothy L.

Group Art Unit
2871

Invention: ACTIVE MATRIX LIQUID CRYSTAL DISPLAY

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

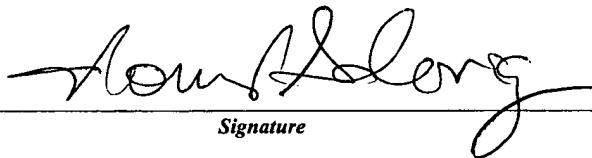
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: October 17, 2002

Norman P. Soloway
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